Transfer of Ownership
Unit trusts

NEDGROUP INVESTMENTS

1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from our website: **www.nedgroupinvestments.co.za**, your financial planner or our Client Service Centre.
2. If you are an existing investor and you would like this investment to be switched into your existing unit trust portfolios after the transfer of ownership has been processed, please attach a completed and signed Unit Trust Switch form with this instruction.
3. We will process your instruction once we have received:
   - A completed and signed transfer form;
   - Where the transferee is a new investor, a completed and signed Initial investment form;
   - All supporting documents.
4. The daily cut-off for receipt of instruction is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed forms with the relevant supporting documents to us via:
   - Email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing to us, as an authorised signature is required for processing); or
   - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Current investor / transferor details

Investor number

Individuals:

Title and surname

First names

SA ID number

Passport number (if foreign national)

Legal entities:

Registered name

Trading name

Registration number

3. Transfer details

Is this a transfer to your spouse? ☐ Yes ☐ No

If yes, please attach a copy of your marriage certificate.

Please complete the below table:

Please note

- If you do not indicate how we should administer current recurring instructions:
  - existing debit orders will continue;
  - existing recurring withdrawals will continue unless you requested a 100% withdrawal from the unit trust portfolio funding the transfer; and
  - phase-ins will continue unless you requested a 100% transfer from the Nedgroup Investments Core Income Fund funding the phase-in.

		Please select EITHER a percentage OR a rand amount		Debit order		Recurring withdrawal	
Unit trust portfolio	**Account number**	**Percentage**	**Rand amount**	Continue	Cancel	Continue	Cancel
				✓ OR	✓	✓ OR	✓
	Total	%	R				

If transferring from the Nedgroup Investments Money Market Fund, please indicate how the accrued income distribution should be administered:

☐ Be transferred to the new owner

☐ Be paid out into the current investor's bank account

Please note

- This transaction may attract Capital Gains Tax (CGT).

4. New investor / transferee details

Are you an existing Nedgroup Investments investor? ☐ Yes ☐ No

If no, please complete investor details below and attach a completed and signed Unit Trust Initial investment form with this instruction.

If yes, and you want this transfer to be added to your existing investment please provide your investor number.

Investor number ☐☐☐☐☐☐☐☐☐

Please note

- The unit trusts transfered will be invested into a new account number under the investor number specified above, but will remain in the same portfolio and class as held by the transferor.
- Income distributions will be reinvested.
- If the unit trusts are in a class that pays a financial planner an annual fee recovered via the sale of units, the fee will be set to zero.
- If the unit trusts are in a class that pays a fee that is priced into the unit price of the portfolio, it will continue and the fee will be paid to the financial planner on record.
- If you wish to change any of these details please submit the relevant form.

NEW INVESTOR DETAILS

New investor name ________________

SA ID number / Passport number / Registration number ☐☐☐☐☐☐☐☐☐☐☐☐☐

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5. Investor declaration

I, the undersigned transferor, confirm and certify that:

- I am the owner and /or authorised to act in respect of the units being transferred. I instruct Nedgroup Investments to transfer my rights, title and interest in and to the relevant unit trust portfolios to the transferee.
- I am acting on my own account and I made my own independent decision to make this transfer of ownership and, as to whether it is appropriate or proper for me, based upon my own judgement, and upon advice from my appointed financial planning business(if applicable).
- I am not relying on any communication from Nedgroup Investments whether written, oral or implied for investment advice or as a recommendation to make this transfer of ownership.
- I have not ceded and have not entered the interest in the unit trust portfolios as indicated herein to any other person and have not entered into any agreement restricting or prohibiting the transfer.
- This transaction may attract capital gains tax.

We, the undersigned transferor and transferee, confirm and certify that:

- We are responsible for our investment decisions and have considered whether this instruction is suitable for our needs.
- We have power and authority to enter into and conclude this transaction.
- We understand and agree to the information in the latest relevant Investment Agreement.
- We have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios we have invested in.
- We have read and understand the contents including the terms and conditions of this form.
- We did not receive advice from Nedgroup Investments about this instruction.
- All of the information, instructions and documents provided by ourselves about this instruction, whether in our handwriting or not, are accurate and complete.
- Nedgroup Investments may accept signed instructions by fax or other electronic means, and will not be responsible for any failure or delay of any networks, electronic or mechanical device or any other forms of communication used for submitting and processing my instructions.
- Nedgroup Investments may only accept instructions from our financial planning businesses or any authorised third party if we appoint them and authorise this in writing.
- Our appointed financial planning businesses (if applicable), will have access to our investment details via Nedgroup Investments' secure online website.

CURRENT INVESTOR / TRANSFEROR SIGNATURE

Investor / Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

NEDGROUP INVESTMENTS

NEW INVESTOR / TRANSFEREE SIGNATURE

Investor / Authorised signatory		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	