

# Debit Order

## Unit trusts



### 1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Factsheet and Portfolio Characteristics document - which can be obtained from our website: [www.nedgroupinvestments.co.za](http://www.nedgroupinvestments.co.za), your financial planner or our Client Service Centre.
2. If you are switching into a new unit trust portfolio, you will be invested in the class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
  - Email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
  - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

### 2. Investor details

Investor number

#### Individuals:

Title and surname

First names

SA ID number

Passport number  
(if foreign national)

Expiry date   
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Country of issue

#### Legal entities:

Registered name

Trading name

Registration number

### 3. Income verification

How are you funding this transaction?

Salary  Sale of property  Inheritance  Company profit  Capital / Savings

Donation  Contributions  Other (please specify)

Occupation

## 4. Investment details

### START A NEW DEBIT ORDER INTO AN EXISTING UNIT TRUST PORTFOLIO

			Only applicable if investing with a Financial Planner
Unit trust portfolio	Account number	Debit order amount to be invested	Initial FP fee max 3% (excl VAT)
			%
		<b>Total (R)</b>	

**Please note:**

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).
- A debit order may not be selected if you have a recurring withdrawal being processed against the same unit trust portfolio(s) selected for the debit order.

**Initial financial planning fees:**

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

## START A NEW DEBIT ORDER INTO A NEW UNIT TRUST PORTFOLIO

### Please note

- A debit order may not be selected if you have requested a recurring withdrawal to be processed from the same unit trust portfolio(s) selected for the debit order.
- If no income distribution option is selected, your distribution will be reinvested.
- If you select payout as your income distribution option:
  - distribution amounts will be paid electronically into the bank account provided in the Investor bank account details section.
  - distribution amounts will not be paid to third party accounts or by cheque.
  - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.
- No debit orders will be accepted into the Nedgroup Investments Core Income Fund unit price class.

Unit trust portfolio	Debit order amount to be invested	Income Distribution		Only applicable if investing with a Financial Planner	
				Initial FP fee max 3% (excl VAT)	Annual FP fee max 1% (excl VAT)
		Reinvest	Payout		Sale of units
<b>Equity portfolios</b>		✓	OR	✓	%
Rainmaker					
Value					
Growth					
Private Wealth Equity					
<b>Specialist equity portfolios</b>					
Entrepreneur					
Mining & Resource					
Financials					
<b>Asset allocation portfolios</b>					
Stable					
Opportunity					
Managed					
Balanced					
Bravata Worldwide Flexible					
<b>Income portfolios</b>					
Money Market					
Core Income					
Flexible Income					
Core Bond					
Property					
<b>Core portfolios</b>					
Core Guarded					
Core Diversified					
Core Accelerated					
<b>International portfolios</b>					
Global Property Feeder					
Core Global Feeder					
Global Cautious Feeder					
Global Flexible Feeder					
Global Equity Feeder					
<b>Additional unit trust portfolios</b>					
<b>Total (R)</b>					



## 6. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date   
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## 7. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact Sheet that relates to the unit trust portfolios I am investing in.
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Instructions will only be accepted from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I need to inform you if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Annual financial planning fees may be recovered via the sale of units from my investment and paid to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)  
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001  
PO Box 1510 Cape Town 8000 South Africa  
www.nedgroupinvestments.co.za  
Directors: I Ruggiero NA Andrew CE Sevenoaks

# Addendum

## Alternate bank account details

Collections will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Below is a list of requirements should a third party bank account be provided:

- If an employer is paying on behalf of an employee, a letter authorising us to debit the account below must be provided.
- If the bank account holder is a third party legal entity; a copy of the resolution of authorised signatories, signed by all signatories together with their identity documents, is required. Please provide the third party legal entity registration number below.
- If the bank account holder is a third party individual, a copy of their identity document is required.

**Third party legal entity registration number**

**Third party individual SA ID number**

### PLEASE SPECIFY THE TRANSACTIONS FOR WHICH THIS ACCOUNT IS TO BE USED

Electronic collection of lump sum investment amount Amount R

Monthly debit order Amount R

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch  Branch code

Account type  Current  Savings Country

Signature of bank account holder  Date          
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