Additional Investment
Tax free investment

NEDGROUP INVESTMENTS

1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement and Portfolio Characteristics document - which can be obtained from our website: **www.nedgroupinvestments.co.za**, your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00, 12:00 for Nedgroup Investments Money Market Fund.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
   - Email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
   - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Tax free investments notes

1. Total contributions are limited to R33 000 per tax year (R500 000 over your lifetime) across all tax-free investments, including monthly debit orders.
2. Should your contributions exceed R33 000 per tax year, SARS will tax the over contributions at a flat rate of 40%. Please refer to the latest Investment Agreement for further clarity.
3. You can withdraw from your tax-free investment at any time.
4. Withdrawn amounts that are re-invested will be treated as additional contributions and therefore be subject to your annual contribution threshold of R33 000 as well as your lifetime R500 000 threshold.
5. Income distributions will be reinvested.

3. Investor details

Investor number

Title and surname

First names

SA ID / passport number

Expiry date

D D M M Y Y Y Y

4. Income verification

This information is an anti-money laundering requirement prescribed by the Financial Intelligence Centre Act, 38 of 2001 (FICA). Supporting documentation may be requested.

How are you funding this transaction?

☐ Salary ☐ Sale of property ☐ Inheritance ☐ Other (please specify) ________

Occupation ________

5. Investment details

UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

Unit trust portfolio	Account number	Lump sum amount to be invested	Debit order amount to be invested	Only applicable if investing with a Financial Planner Initial FP max 3% (excl VAT) Lump sum	 Debit order
				%	%
Total (R) - Total contributions are limited to R33 000 per tax year.					

Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).

Initial financial planning fees

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

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UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

Unit trust portfolio	Lump sum amount to be invested	Debit order amount to be invested	Only applicable if investing with a Financial Planner: Initial FP fee max 3% (excl VAT)		Annual FP fee max 1% (excl VAT)
			Lump sum	Debit order	Sale of units
Equity portfolios			%	%	%
Rainmaker					
Value					
Growth					
Private Wealth Equity					
Specialist equity portfolios					
Entrepreneur					
Mining & Resource					
Financials					
Asset allocation portfolios					
Stable					
Opportunity					
Managed					
Balanced					
Bravata Worldwide Flexible					
Income portfolios					
Money Market					
Core Income					
Flexible Income					
Core Bond					
Property					
Core portfolios					
Core Guarded					
Core Diversified					
Core Accelerated					
International portfolios					
Global Property Feeder					
Global Cautious Feeder					
Global Flexible Feeder					
Global Equity Feeder					
Core Global Feeder					
Additional unit trust portfolios					
Total (R) - Total contributions are limited to R33 000 per tax year.					

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FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Initial fees

- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

Annual fees

- If you have nominated an account from which the 'sale of unit' fee is to be recovered, your selection will apply to your additional investment.

6. Payment details

HOW DO YOU WISH TO PAY FOR THIS INVESTMENT?

Lump sum:

☐ **Cheque deposit**
Please make cheque deposits to our bank account (details are below) and mark cheques as 'non-transferable'.

☐ **Electronic collection by Nedgroup Investments** (once-off debit)
Please complete the 'Investor bank account details' section below (or Addendum Alternate bank account details). Allow at least two business days between the submission of your instruction and the collection date specified below.

Total lump sum amount R ____________ Date for electronic collection of lump sum D D M M Y Y Y Y

☐ **Internet transfer / payment via EFT** (please use your SA ID number as a reference)
Please make electronic transfers to our bank account. These transfers may take up to two days to appear in our bank account.

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

Please note

- We do not accept cash deposits.
- We will only process your instruction once we have received proof of payment.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

Debit Order:

Total debit order investment amount R ____________ Debit order to commence in the month of M M Y Y Y Y

Debit order collection day ☐ 1st ☐ 15th ☐ 27th

Please note

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Cheque deposits, once-off debits and debit orders take 45 days to clear.

7. Investor bank account details

Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details in the Addendum Alternate bank account details.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Branch code

Account type

Current

Savings

Country

8. Financial planner details and declaration

Name of financial planning business

Name of financial planner

Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date D D M M Y Y Y Y

9. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact Sheet that relates to the unit trust portfolios I am investing in.
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Nedgroup Investments may only accept instructions from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I am aware that I need to inform Nedgroup Investments if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct Nedgroup Investments otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Nedgroup Investments may recover annual financial planning fees paid via the sale of units from my investment and pay these fees to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory ______ Date D D M M Y Y Y Y

Name ______ Capacity ______

Authorised signatory (if applicable) ______ Date D D M M Y Y Y Y

Name ______ Capacity ______

Authorised signatory (if applicable) ______ Date D D M M Y Y Y Y

Name ______ Capacity ______

Authorised signatory (if applicable) ______ Date D D M M Y Y Y Y

Name ______ Capacity ______

Addendum

Alternate bank account details

Collections will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Below is a list of requirements should a third party bank account be provided:

- If an employer is paying on behalf of an employee, a letter authorising us to debit the account below must be provided.
- If the bank account holder is a third party legal entity; a copy of the resolution of authorised signatories, signed by all signatories together with their identity documents, is required. Please provide the third party legal entity registration number below.
- If the bank account holder is a third party individual, a copy of their identity document is required.

Third party legal entity registration number

Third party individual SA ID number

PLEASE SPECIFY THE TRANSACTIONS FOR WHICH THIS ACCOUNT IS TO BE USED

☐ Electronic collection of lump sum investment amount — Amount R

☐ Monthly debit order — Amount R

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Branch code

Account type ☐ Current ☐ Savings

Country

Signature of bank account holder

Date D D M M Y Y Y Y